



Maine SIM Quarterly Status Report – FFY14 Q1

**Maine State Innovation Model Quarterly
Report to Center for Medicare and Medicaid
Innovation**

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1/30/2014

1 Overview

Overview of Quarter's Project Activities

The Maine SIM Project is generally on track with the milestones and therefore the Accountability Targets that are outlined in the Maine SIM Project Plan. While we experienced some delays in some components of our test, these delays are not expected to have any material impact on our ability to attain the accountability targets for year one, and the trend is improving.

At a high level, the Maine SIM Project Plan has 20 major SIM objectives, all of which fall under one of three work streams and MaineCare, CDC, and the SIM Program.

- SIM Program, driven out of the Department of Health and Human Services
- Payment Reform, driven by Maine Health Management Coalition
- Delivery System Reform, driven by Maine Quality Counts
- Data Infrastructure, driven by HealthInfoNet
- MaineCare, driven by the Office of MaineCare services
- Maine CDC, driven by the Maine CDC

All of the objectives are assigned a status for Q1, and an 'outlook' status, projecting the level of confidence that milestones and applicable targets will be obtained during the second quarter of fiscal year '14, with associated narrative supporting that outlook status. Further, all objectives are outlined in detail in the Maine SIM Project Plan, which clearly articulates objectives, milestones, and accountability targets which is attached with this report.

Status and Outlook Rating Key:

- **Green:** All's well. At least a 75% level of confidence that milestones will be reached for that associated objective
- **Yellow:** Reason for caution exists. There is between a 50% - 75% level of confidence that all associated milestones will be achieved.
- **Red:** Concern is present, and confidence is, at most, at a 50% level that associated milestones will be achieved.

Overall results for the Maine SIM work stream objectives are as follows:

Status for FFY14 1Q:

Green: 9 objectives
Yellow: 5 objectives
Red: 2 objectives
Not yet started: 4 objectives

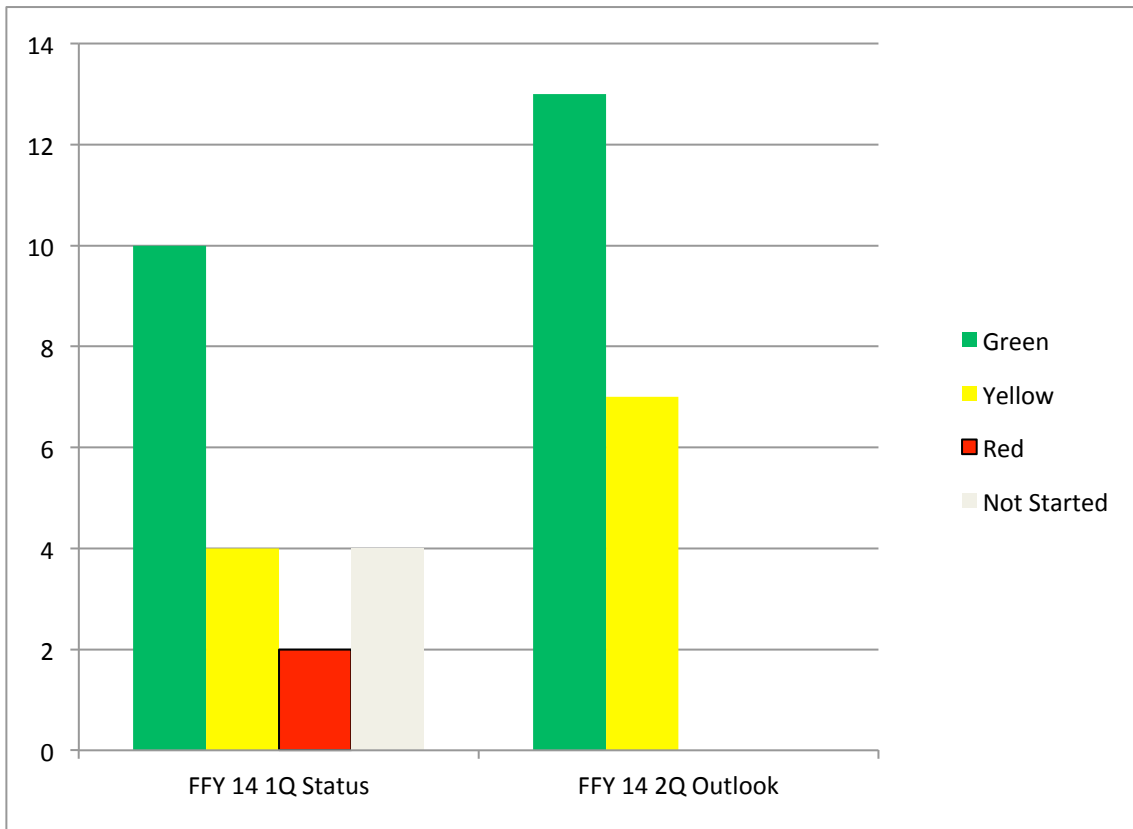
Outlook for FFY14 2Q:

Green: 13 objectives
Yellow: 7 objectives
Red: 0 objectives

Thus, the trend is moving in the positive direction as we have transitioned from an initial slow start on the objectives but seem to be achieving momentum and gaining ground, with overall confidence growing that we are on target with our Year One milestones and Accountability Targets. Please see the trend chart below.

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SIM Objectives: Status and Outlook



The SIM 'work stream' category breakdown is below:

Payment Reform Objectives (6)

Overall Status: **Green**

Objective Status Summary:

5 objectives in **Green** status

1 objective in **Yellow** status

Objective Outlook Summary

5 objectives with a **Green** outlook

1 objectives with a **Yellow** outlook

Payment Reform details can be found in section 2

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Delivery System Reform Objectives (3)

Overall Status: **Green**

Objective Status Summary

1 objectives in **Green** status
2 objectives not started in 1Q

Objective Outlook Summary

3 objectives with a **Green** outlook
1 objective with a **Yellow** outlook

Delivery System Reform details can be found in section 2

Data Infrastructure Objectives (5)

Overall Status: **Green**

Objective Status Summary

2 objectives in **Green** Status
3 objectives in **Yellow** Status

Objective Outlook Summary

4 Objectives in **Green** Status
1 Objective in **Yellow** Status

Data Infrastructure details can be found in section 2

MaineCare Objectives (4)

Overall Status: **Yellow**

Objective Status Summary

2 objectives in **red** status
2 objectives not scheduled to begin in FY 14 1Q

Objective Outlook Summary

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4 objectives in **yellow** status

MaineCare objectives details can be found in section 2

Maine CDC Objectives (2)

Overall Status: **Yellow**

Objective Status Summary

1 objective in **green** status

1 objective in **yellow** status

Objective Outlook Summary

1 objective in **green** status

1 objective in **yellow** status

Maine CDC objectives details can be found in section 2

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SIM Program (objectives reporting as Program objectives are separate from work stream totals above)

Overall Status: **Yellow**

Objective Status Summary

2 objectives in **green** status

1 objective in **yellow** status

Objective Outlook Summary

2 objectives in **green** status

1 objective in **yellow** status

Details included in the following report:



SIM Program Status
Oct - Dec 2013.pptx

The SIM Program has achieved most of the goals during the FFY14 1Q. The major objectives for the SIM Program are as follows:

Objective 1: Manage the SIM Governance Process

The SIM Program enjoyed robust engagement from our Governance Structure during FFY14 1Q.

We held 3 monthly Maine Leadership Team meetings

5 SIM Steering Committee Meetings were held

All three subcommittees were formed and began meeting in October, and each subcommittee held 3 meetings during the quarter.

Minutes, including action items and outcomes of each of these meetings are available on the Maine SIM website, located here:

<http://www.maine.gov/dhhs/sim/index.shtml>

Objective 2: Manage the SIM Operational Plan

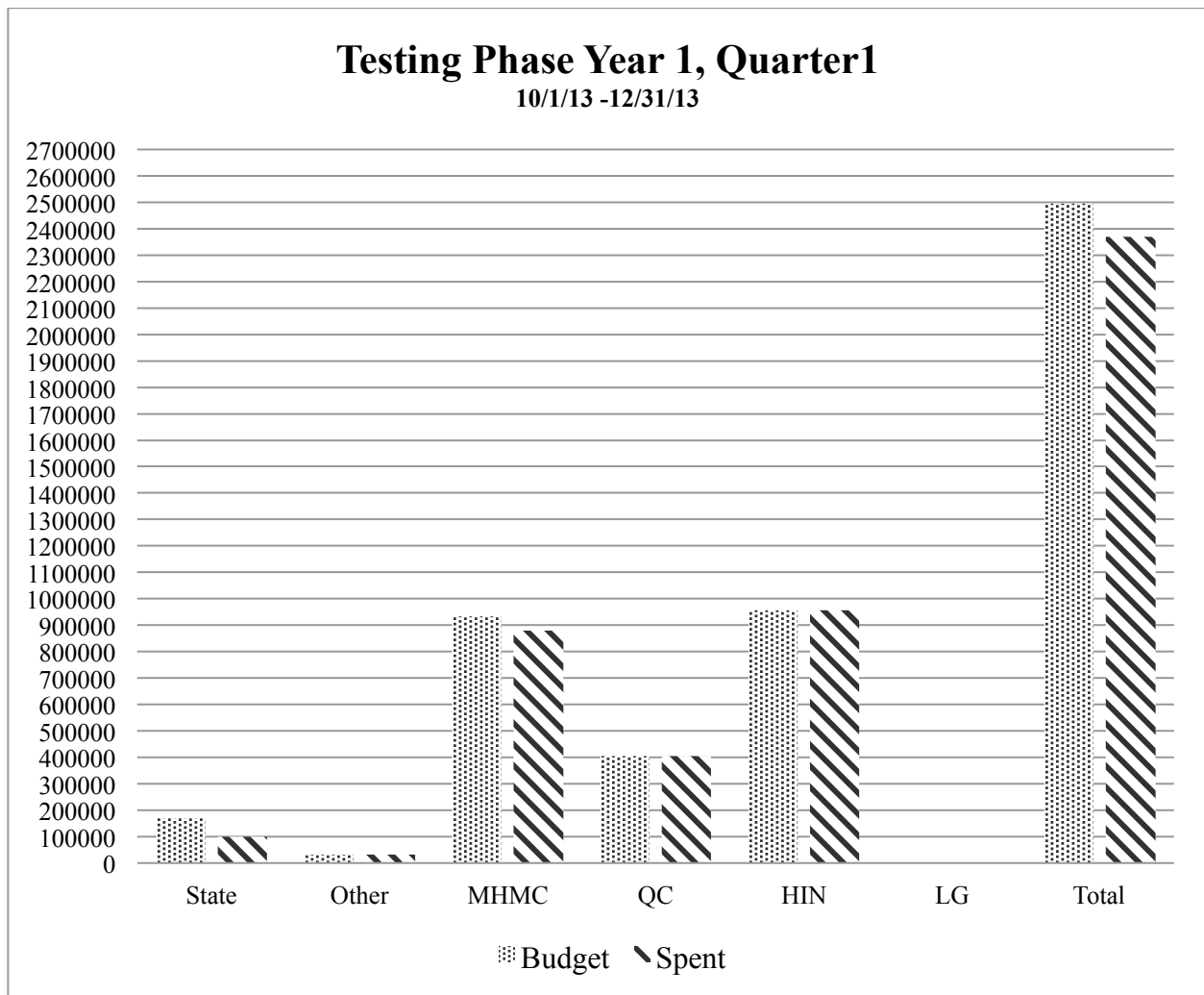
The SIM Operational Plan was executed during the 1st quarter as well, with highlights being the hiring of a SIM Budget/Contract Coordinator and development of a new position at State Government of Strategic Reform Coordinator, which will be heavily involved providing Strategic direction for SIM and aligning initiative across State departments toward SIM Strategic Objectives.

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Objective 3: Manage the overall SIM Project Plan and Budget

The SIM Project Plans were highlighted with synchronization activities that ensure that each SIM partner had intimate awareness with not only the project plan owned by them, but also improved awareness of the project plans of each SIM Partner, with a highlight on understanding the interdependencies of the plan and therefore the integrated nature of the work. These synchronization activities increased holistic understand of SIM initiatives by all of the partners, and led to many improvements in the overall plan.

SIM Budget



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Section 2 Accomplishments and Planned Activities Over the Next Quarter and Likelihood of Achievement

All Status and Outlooks based on SIM Program Plan, attached below:



Maine SIM
SSTv5.xlsx

Payment Reform Status, Accomplishments and Outlook



SIM MHMC Quarterly
Oct - Dec 2013v2.ppt

Delivery System Reform Status, Accomplishments, and Outlook



MQC Quarterly Oct -
Dec 2013.pptx

Data Infrastructure Status, Accomplishments, and Outlook



HIN Quarterly Oct -
Dec 2013v2.pptx

MaineCare Status, Accomplishments, and Outlook



MaineCare Quarterly
Report Oct - Dec 201

Maine CDC Status and Accomplishments



CDC Quarterly
Report Oct - Dec 201

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4 Substantive Findings and Lessons Learned

- Partner contract development process should be managed and staffed aggressively was held up in the Attorney General Office, thus delaying some project timelines
- Staffing Plan should be assessed and aggressive early in grant period
- Easy to underestimate the significant work and time involved in establishing working governance structures and getting them operational
- SIM Program is large, complex, and integrated. Significant focus needed on project management expertise and capacity necessary
- Critical to integrate accountability targets in program plans to enable effective tracking of progress against goals

5 Findings From Self-Evaluation

Self-Evaluation has not started yet

6 Problems Encountered/Anticipated and Implemented or Planned Solutions

Detail included in work stream detail included above

7 Work Breakdown Structure



1st Q quarter
WBS.xlsx

8 Additional Information

All addressed in above documentation

9 Point of Contact

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